# NON-CONSTRUCTION CONTRACT REVIEW FORM: NEW CONTRACT Revenue/ Expenditure Newtral

CMS #

HZGQE

(To be filled in by department)

Contract #

(To be filled in by Auditor)

CONTRACTOR NAME:	Urban Ore	•			
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The Vital Record contract MUST be in a folder. Optional: In lieu of folders, Department and Vendor copies may be assembled with an Acco-fastener.	Attached	Waiver Attached	Not
7. CONTRACT BOILERPLATE			
2. Scope of Services (Exhibit A @ boilerplate)			
3. Payment Provisions (Exhibit B @ boilerplate)	$\boxtimes$		
4. Evidence of Competitive Solicitation OR Waiver by CM or by Council Resolution			×
5. CERTIFICATIONS			
a. Workforce Composition (businesses with 5 or more employees)	X		
b. Nuclear Free Berkeley Disclosure	$\boxtimes$		
— Oppressive States Disclosure (Exception: Community-based, non-profit organizations)	⊠.		
d. Certification of Compliance with Living Wage Ordinance (LWO): use current form on web*	$\boxtimes$		
e. Certification of Compliance with Equal Benefits Ordinance: <b>use current form on web</b> *	×		
f. Community Agency: Certification of Anti-Lobbying			$\boxtimes$
g. Community Agency: Certification of Drug-Free Workplace			  X
h. Federally Funded Project Requirement <b>ONLY</b> : Debarment status printout (\$25,000 and above)			×
Insurance Certificate/s AND Endorsement/s OR Insurance Waiver/s (originals, not copies)	$\boxtimes$		
7. Authorizing Council Resolution #			 . 🗵
8. Consultant Contracts: Form 700, Statement of Economic Interests			   
erkeley Business License # 12- 00013400 Contract Amount \$	لسا	V//////	
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Vas there any advance payment? No  Yes	nt \$ _ # ewed fo _ Jur _ I	ne 7, 2012 Date	tene
as there any advance payment? No  Yes  If Yes, Advanced Amount If Yes, Purchase Order outing and signatures:  All elements of the contract package, including information provided above, have been revie and accuracy and evidenced by the following signatures:  Public Works 510-981-6357 Project Manager - Andy Schneider & Department Phone No.  Department Administrative Officer/Accounting  Contract Administrator	nt \$ _ # ewed fo	or comple ne 7, 2012 Date	ten
Vas there any advance payment? No  Yes  If Yes, Advanced Amount If Yes, Purchase Order outing and signatures:  All elements of the contract package, including information provided above, have been reviewand accuracy and evidenced by the following signatures:  Public Works 510-981-6357 Project Manager - Andy Schneider & Department Phone No.  Department Administrator  Department Administrator  JUL 16 2012	nt \$ _ # ewed fo	pr comple ne 7, 2012 Date	ten
Vas there any advance payment? No  Yes	nt \$ _ # ewed fo	Date Date Date Date Date	ten

<sup>\*</sup> For current vendor forms, go to City of Berkeley website: <a href="http://www.cityofberkeley.info/ContentDisplay.aspx?id=5418">http://www.cityofberkeley.info/ContentDisplay.aspx?id=5418</a> NewContractReviewForm 2012.doc <

### PERSONAL SERVICES CONTRACT

**THIS CONTRACT** is entered into on, JUNE 30, 2012 between the CITY OF BERKELEY ("City"), a Charter City organized and existing under the laws of the State of California, and Urban Ore ("Contractor"), a California Corporation doing business at 900 Murray Street, Berkeley, Ca 94710, who agree as follows:

### 1. SCOPE OF SERVICES

Contractor agrees to perform all services described in Exhibit A, in accordance with its stated terms and conditions. Exhibit A is attached to and made a part of this Contract.

### 2. **PAYMENT**

For services referred to in Section 1, City will have no cost for services from Contractor, for services as outlined in Exhibit A. City shall have no payments to Contractor in accordance with the provisions described in Exhibit B, which is attached to and made a part of this Contract.

### 3. **TERM**

- a. This Contract shall begin on July 1, 2012 and end on June 30, 2016. The City Manager of the City may extend the term of this contract by giving written notice.
- b. City may terminate this Contract at its convenience and without cause upon ninety (90) days written notice to Contractor. Either party may terminate this Contract for default upon thirty (30) days' written notice to the other party. Such party may cure any breach within the thirty days and keep this Agreement in effect. Except as provided in this Contract, in no event shall City be liable for costs incurred by or on behalf of Contractor after the effective date of a notice of termination.
- c. A written notice is deemed served when a party sends the notice in an envelope addressed to the other party to this Contract and deposits it with the U.S. Postal Service, first class mail, postage prepaid. For purposes of this Contract, all notices to City shall be addressed as follows:

City Manager City of Berkeley 2180 Milvia Street Berkeley, California 94704

For purposes of this Contract, all notices to Contractor shall be addressed as follows:

Daniel Knapp Urban Ore 900 Murray St. Berkeley, CA 94710

d. If City terminates this Contract before Contractor completes the services in Exhibit A, Contractor shall then be entitled to recover its costs expended up to that point plus a reasonable profit, but no other loss, cost, damage, expense or liability may be claimed, requested or recovered.

### 4. **INDEMNIFICATION**

Contractor, for itself and its heirs, successors and assigns, agrees to release, defend, indemnify and hold harmless City, its officers, agents, volunteers and employees from and against any and all claims, demands, liability, damages, lawsuits or other actions, including, but not limited to, personal injury or death or property damage arising out of or in any way connected with Contractor's operations under this Contract, or with the performance of this Contract by Contractor or its officers, employees, partners, directors, subcontractors or agents.

### 5. **INSURANCE**

a. Contractor shall maintain at all times during the performance of this Contract a commercial general liability insurance policy with a minimum occurrence coverage in the amount of \$1,000,000 (one-million dollars); an automobile liability insurance policy in the minimum amount of \$500,000 (five-hundred thousand dollars); All insurance, , shall name the City, its officers, agents, volunteers and employees as additional insureds and shall provide primary coverage with respect to the City.

All insurance policies shall: 1) provide that the insurance carrier shall not cancel, terminate or otherwise modify the terms and conditions of said policies except upon thirty (30) days written notice to the City's Contract Administrator; 2) be evidenced by the original Certificate of Insurance, specifying the required coverage and the insurance carrier's standard additional insured form endorsement; and 3) be approved as to form and sufficiency by the City's Contract Administrator. The original insurance certificates and all extensions to the insurance certificates should be sent to the address identified below and include the CMS#.

- b. If the commercial general liability insurance referred to above is written on a <u>Claims Made Form</u> then, following termination of this Contract, coverage shall survive for a period of not less than five years. Coverage shall also provide for a retroactive date of placement coinciding with the effective date of this Contract.
- c. If Contractor employs any person, it shall carry workers' compensation insurance and shall provide a certificate of insurance to the City. The workers' compensation insurance shall: 1) provide that the insurance carrier shall not cancel, terminate or otherwise modify the terms and conditions of said insurance except upon thirty (30) days written notice to the City's Contract Administrator; 2) provide for a waiver of any right of subrogation against City to the extent permitted by law; and 3) shall be approved as to form and sufficiency by the Contract Administrator.
  - d. Contractor shall forward all insurance documents to:

City of Berkeley Public Works Department CMS# 2180 Milvia 3<sup>rd</sup> Floor Berkeley, CA 94704

### 6. CONFORMITY WITH LAW AND SAFETY

- a. Contractor shall observe and comply with all applicable laws, ordinances, codes and regulations of governmental agencies, including federal, state, municipal and local governing bodies having jurisdiction over any or all of the scope of services, including all provisions of the Occupational Safety and Health Act of 1979 as amended, all California Occupational Safety and Health Regulations, and all other applicable federal, state, municipal and local safety regulations. All services performed by Contractor must be in accordance with these laws, ordinances, codes and regulations. Contractor shall release, defend, indemnify and hold harmless City, its officers, agents, volunteers and employees from any and all damages, liability, fines, penalties and consequences from any noncompliance or violation of any laws, ordinances, codes or regulations.
- b. If a death, serious personal injury or substantial property damage occurs in connection with the performance of this Contract, Contractor shall immediately notify the City's Risk Manager by telephone. If any accident occurs in connection with this Contract, Contractor shall promptly submit a written report to City, in such form as the City may require. This report shall include the following information: 1) name and address of the injured or deceased person(s); 2) name and address of Contractor's subcontractor, if any; 3) name and address of Contractor's liability insurance carrier; and 4) a detailed description of the accident, including whether any of City's equipment, tools or materials were involved.
- c. If a release of hazardous materials or hazardous waste that cannot be controlled occurs in connection with the performance of this Contract, Contractor shall immediately notify the Berkeley Police Department and the City's Health Protection office.
- d. Contractor shall not store hazardous materials or hazardous waste within the City of Berkeley without a Hazardous Materials Business Plan approved by the City.

### 7. MATERIAL SAFETY DATA SHEETS

- a. To comply with the City's Hazard Communication Program, Contractor agrees to submit Material Safety Data Sheets (MSDS) for all "hazardous substances" Contractor intends to use in the performance of work under this Contract in any City facility. "Hazardous substances" are defined as those substances so designated by the Director of Industrial Relations pursuant to the Hazardous Substances Information and Training Act (Labor Code sec. 6360 et seq.). The MSDS for all products must be submitted to the City before commencing work. The MSDS for a particular product must be reviewed and approved by the City's Risk Manager before Contractor may use that product.
- b. City will inform Contractor about hazardous substances to which it may be exposed while on the job site and protective measures that can be taken to reduce the possibility of exposure.

### 8. OWNERSHIP OF DOCUMENTS

a. When this Contract is terminated, Contractor agrees to return to City all documents, drawings, photographs and other written or graphic material, however produced, that it received from City, its contractors or agents, in connection with the performance of its services under this Contract. All materials shall be returned in the same condition as received. With the prior written approval of City's Project Manager, Contractor may retain and use copies of its work for reference and as documentation of its experience and capabilities.

b. Contractor grants City a royalty-free, and irrevocable license to reproduce, publish, use and to authorize others to do so, all original computer programs, writing, sound recordings, pictorial reproductions, diagrams, charts, computations, drawings and other works of similar nature produced in the course of the performance of this Contract.

### 9. <u>NON-DISCRIMINATION</u>

Contractor hereby agrees to comply with the provisions of Berkeley Municipal Code ("B.M.C.") Chapter 13.26 as amended from time to time. In the performance of this Contract, Contractor agrees as follows:

- a. Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, ancestry, national origin, age (over 40), sex, pregnancy, marital status, disability, sexual orientation or AIDS.
- b. Contractor shall permit the City access to records of employment, employment advertisements, application forms, EEO-1 forms, affirmative action plans and any other documents which, in the opinion of the City, are necessary to monitor compliance with this non-discrimination provision. In addition, Contractor shall fill-out, in a timely fashion, forms supplied by the City to monitor this non-discrimination provision.

### 10. INDEPENDENT CONTRACTOR

- a. Contractor shall be deemed at all times to be an independent contractor and shall be wholly responsible for the manner in which Contractor performs the services required of Contractor by the terms of this Contract. Contractor shall be liable for its acts and omissions, and those of its employees and its agents. Nothing contained herein shall be construed as creating an employment, agency or partnership relationship between City and Contractor.
- b. Direction from City regarding the subject of this Contract shall be construed as providing for direction as to policy and the result of Contractor's Work only and not as to the means or methods by which such a result is obtained.
- c. Except as expressly provided in this Contract, nothing in this Contract shall operate to confer rights or benefits on persons or entities not party to this Contract.
- d. Payment of any taxes, including California Sales and use Taxes, levied upon this Contract, the transaction, or the services or goods delivered pursuant hereto, shall be the obligation of Contractor.

### 11. <u>CONFLICT OF INTEREST PROHIBITED</u>

- a. In accordance with Government Code section 1090, Berkeley City Charter section 36 and B.M.C. Chapter 3.64, neither Contractor nor any employee, officer, director, partner or member of Contractor, or immediate family member of any of the preceding, shall have served as an elected officer, an employee, or a City board, committee or commission member, who has directly or indirectly influenced the making of this Contract.
- b. In accordance with Government Code section 1090 and the Political Reform Act, Government Code section 87100 *et seq.*, no person who is a director, officer, partner, trustee, employee or consultant of the Contractor, or immediate family member of any of the preceding, shall make or participate in a decision made by the City or a City board, commission or committee, if it is reasonably foreseeable that the decision will have a material effect on any source of income, investment or interest in real property of that person or Contractor.

c. Interpretation of this section shall be governed by the definitions and provisions used in the Political Reform Act, Government Code section 87100 *et seq.*, its implementing regulations, manuals and codes, Government Code section 1090, Berkeley City Charter section 36 and B.M.C. Chapter 3.64.

### 12. NUCLEAR FREE BERKELEY

Contractor agrees to comply with B.M.C. Chapter 12.90, the Nuclear Free Berkeley Act, as amended from time to time.

### 13. OPPRESSIVE STATES CONTRACTING PROHIBITION

a. In accordance with Resolution No. 59,853-N.S., Contractor certifies that it has no contractual relations with, and agrees during the term of this Contract to forego contractual relations to provide personal services to, the following entities:

(1) The governing regime in any Oppressive State.

(2) Any business or corporation organized under the authority of the governing

regime of any Oppressive State.

- (3) Any individual, firm, partnership, corporation, association, or any other commercial organization, and including parent-entities and wholly-owned subsidiaries (to the extent that their operations are related to the purpose of its contract with the City), for the express purpose of assisting in business operations or trading with any public or private entity located in any Oppressive State.
- b. For purposes of this Contract, the Tibet Autonomous Region and the provinces of Ado, Kham, and U-Tsang shall be deemed oppressive states.
- c. Contractor's failure to comply with this section shall constitute a default of this Contract and City may terminate this Contract pursuant to Section 3. In the event that the City terminates Contractor due to a default under this provision, City may deem Contractor a non-responsible bidder for not more than five (5) years from the date this Contract is terminated.

### 14. RECYCLED PAPER FOR WRITTEN REPORTS

If Contractor is required by this Contract to prepare a written report or study, Contractor shall use recycled paper for said report or study when such paper is available at a cost of not more than ten percent more than the cost of virgin paper, and when such paper is available at the time it is needed. For the purposes of this Contract, recycled paper is paper that contains at least 50% recycled product. If recycled paper is not available, Contractor shall use white paper. Written reports or studies prepared under this Contract shall be printed on both sides of the page whenever practical.

### 15. BERKELEY LIVING WAGE ORDINANCE

- a. Contractor hereby agrees to comply with the provisions of the Berkeley Living Wage Ordinance, B.M.C. Chapter 13.27. If Contractor is currently subject to the Berkeley Living Wage Ordinance, as indicated by the Living Wage Certification form, attached hereto, Contractor will be required to provide all eligible employees with City mandated minimum compensation during the term of this Contract, as defined in B.M.C. Chapter 13.27, as well as comply with the terms enumerated herein. Contractor expressly acknowledges that, even if Contractor is not currently subject to the Living Wage Ordinance, cumulative contracts with City may subject Contractor to the requirements under B.M.C. Chapter 13.27 in subsequent contracts.
- b. If Contractor is currently subject to the Berkeley Living Wage Ordinance, Contractor shall be required to maintain monthly records of those employees providing service under the Contract. These records shall include the total number of hours worked, the number of hours spent providing service under this Contract, the hourly rate paid, and the amount paid by Contractor for health benefits, if any, for each of its employees providing services under the Contract. These records are expressly subject to the auditing terms described in Section 16.
- c. If Contractor is currently subject to the Berkeley Living Wage Ordinance, Contractor shall include the requirements thereof, as defined in B.M.C. Chapter 13.27, in any and all subcontracts in which Contractor engages to execute its responsibilities under this Contract. All subcontractor employees who spend 25% or more of their compensated time engaged in work directly related to this Contract shall be entitled to a living wage, as described in B.M.C. Chapter 13.27 and herein.
- d. If Contractor fails to comply with the requirements of this Section, the City shall have the rights and remedies described in this Section, in addition to any rights and remedies provided by law or equity.

Contractor's failure to comply with this Section shall constitute a material breach of the Contract, upon which City may terminate this Contract pursuant to Section 3. In the event that City terminates Contractor due to a default under this provision, City may deem Contractor a non-responsible bidder for not more than five (5) years from the date this Contract is terminated.

In addition, at City's sole discretion, Contractor may be responsible for liquidated damage in the amount of \$50 per employee per day for each and every instance of an underpayment to an employee. It is mutually understood and agreed that Contractor's failure to pay any of its eligible employees at least the applicable living wage rate will result in damages being sustained by the City; that the nature and amount of the damages will be extremely difficult and impractical to fix; that the liquidated damage set forth herein is the nearest and most exact measure of damage for such breach that can be fixed at this time; and that the liquidated damage amount is not intended as a penalty or forfeiture for Contractor's breach. City may deduct any assessed liquidated damages from any payments otherwise due Contractor.

### 16. <u>BERKELEY EQUAL BENEFITS ORDINANCE</u>

a. Contractor hereby agrees to comply with the provisions of the Berkeley Equal Benefits Ordinance, B.M.C. Chapter 13.29. If Contractor is currently subject to the Berkeley Equal Benefits Ordinance, as indicated by the Equal Benefits Certification form, attached hereto, Contractor will be required to provide all eligible employees with City

mandated equal benefits, as defined in B.M.C. Chapter 13.29, during the term of this contract, as well as comply with the terms enumerated herein.

- b. If Contractor is currently or becomes subject to the Berkeley Equal Benefits Ordinance, Contractor agrees to provide the City with all records the City deems necessary to determine compliance with this provision. These records are expressly subject to the auditing terms described in Section 17 of this contract.
- c. If Contractor fails to comply with the requirements of this Section, City shall have the rights and remedies described in this Section, in addition to any rights and remedies provided by law or equity.

Contractor's failure to comply with this Section shall constitute a material breach of the Contract, upon which City may terminate this contract pursuant to Section 3. In the event the City terminates this contract due to a default by Contractor under this provision, the City may deem Contractor a non-responsible bidder for not more than five (5) years from the date this Contract is terminated.

In addition, at City's sole discretion, Contractor may be responsible for liquidated damages in the amount of \$50.00 per employee per day for each and every instance of violation of this Section. It is mutually understood and agreed that Contractor's failure to provide its employees with equal benefits will result in damages being sustained by City; that the nature and amount of these damages will be extremely difficult and impractical to fix; that the liquidated damages set forth herein is the nearest and most exact measure of damages for such breach that can be fixed at this time; and that the liquidated damage amount is not intended as a penalty or forfeiture for Contractor's breach. City may deduct any assessed liquidated damages from any payments otherwise due Contractor.

### 17. **<u>AUDIT</u>**

Pursuant to Section 61 of the Berkeley City Charter, the City Auditor's Office may conduct an audit of Contractor's financial, performance and compliance records maintained in connection with the operations and services performed under this Contract. In the event of such audit, Contractor agrees to provide the City Auditor with reasonable access to Contractor's employees and make all such financial, performance and compliance records available to the Auditor's Office. City agrees to provide Contractor an opportunity to discuss and respond to any findings before a final audit report is filed.

### 18. **SETOFF AGAINST DEBTS**

Contractor agrees that City may deduct from any payments due to Contractor under this Contract any monies that contractor owes City under any ordinance, contract or resolution for any unpaid taxes, fees, licenses, unpaid checks or other amounts.

### 19. **CONFIDENTIALITY OF INFORMATION**

Contractor understands and agrees that, in the performance of the services under this Contract or in the contemplation thereof, Contractor may have access to private or confidential information which may be owned or controlled by City and that such information may contain proprietary or confidential details, the disclosure of which to third parties may be damaging to City. Contractor agrees that all information disclosed by City to Contractor shall be held in confidence and used only in performance of the Contract. Contractor shall exercise the same standard of care to protect such information as a reasonably prudent consultant would

use to protect its own proprietary data.

### 20. **GOVERNING LAW**

This Contract shall be deemed to have been executed in Alameda County. The formation, interpretation and performance of this Contract shall be governed by the laws of the State of California, excluding its conflict of laws rules. Venue for all litigation relative to the formation, interpretation and performance of this Contract shall be in Alameda County, California.

### 21. **AMENDMENTS**

The terms and conditions of this Contract shall not be altered or otherwise modified except by a written amendment to this Contract executed by City and Contractor.

### 22. ENTIRE CONTRACT

- a. The terms and conditions of this Contract, all exhibits attached and any documents expressly incorporated by reference represent the entire Contract between the parties with respect to the subject matter of this Contract. This Contract shall supersede any and all prior contracts, oral or written, regarding the subject matter between City and Contractor. No other contract, statement, or promise relating to the subject matter of this Contract shall be valid or binding except by a written amendment to this Contract.
- b. If any conflicts arise between the terms and conditions of this Contract and the terms and conditions of the attached exhibits or any documents expressly incorporated, the terms and conditions of this Contract shall control.

### 23. **SEVERABILITY**

If any part of this Contract or the application thereof is declared invalid for any reason, such invalidity shall not affect the other provisions of this Contract which can be given effect without the invalid provision or application, and to this end the provisions of this Contract are declared to be severable.

### 24. WAIVER

Failure of City to insist on strict performance shall not constitute a waiver of any of the provisions of this Contract or a waiver of any other default of Contractor.

### 25. **ASSIGNMENT**

Contractor may not assign this Contract without the prior written consent of the City, except that Contractor may assign its right to any money due or to become due hereunder.

### 26. EFFECT ON SUCCESSORS AND ASSIGNS

This Contract shall be binding on and inure to the benefit of the heirs, executors, administrators, successors, and assigns of the parties hereto.

### 27. CONSULTANTS TO SUBMIT STATEMENTS OF ECONOMIC INTEREST

The City's Conflict of Interest Code, Resolution No. 60,788-N.S., as amended, requires consultants who make a governmental decision or act in a staff capacity as defined in

2 Cal. Code of Regs. §18700, as amended from time to time, to disclose conflicts of interest by filing a Statement of Economic Interest (Form 700). Consultants agree to file such statements with the City Clerk at the beginning of the contract period and upon termination of the Contractor's service. This section would apply only when applicable to Contractor.

### 28. **SECTION HEADINGS**

The sections and other headings of this Contract are for convenience of reference only and shall be disregarded in the interpretation of this Contract.

### 29. CITY BUSINESS LICENSE, PAYMENT OF TAXES, TAX I.D. NUMBER

Contractor has obtained a City business license as required by B.M.C. Chapter 9.04, and its license number is written below; or, Contractor is exempt from the provisions of B.M.C. Chapter 9.04 and has written below the specific B.M.C. section under which it is exempt. Contractor shall pay all state and federal income taxes and any other taxes due. Contractor certifies under penalty of perjury that the taxpayer identification number written below is correct.

Business License Number: 12-00013400

B.M.C. §

Taxpayer ID Number:

**IN WITNESS WHEREOF**, City and Contractor have executed this Contract as of the date written on the first paragraph of this Contract.

### FOR CITY OF BERKELEY

Signed by:	Countersigned by:
Signed by.	
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City Manager	City Auditor
Pre-approved as to form by:	Attested by:
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City Attorney for City of Berkeley	anCity Clerk
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CONTRA	CTOR
UPBAN OPE, INC	Lugis
Contractor Name (print or type)	Signature
	FANIEL KNAPP
	Print Name
Tax Identification #	
Berkeley Business License # 12- 00013400	
Incorporated: Yes ☒ No □	
Certified Woman Business Enterprise: Yes   No	<b>M</b> X
Certified Minority Business Enterprise: Yes   No.	o MeX
If yes, state ethnicity:	
Certified Disadvantaged Business Enterprise: Yes	s □ No <b>X</b> X
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### **EXHIBIT A**

### **SCOPE OF SERVICES**

### DESCRIPTION OF SERVICES

Contractor will operate the following program under the terms and conditions described hereafter:

- 1. Contractor will provide salvage and diversion program for reusable goods that can be reused for their originally intended purpose or repurposed while in their originally-manufactured form that are delivered to the floor of the Berkeley transfer station. Eligible categories of salvaged materials will be approved by mutual consent of City and Contractor and updated periodically at the request of either party. These materials may include, but are not limited to; building materials, institutional fixtures, and household goods.
- 2. Contractor will have the sole right and discretion to determine whether any particular item of reusable goods and materials is acceptable or not to Contractor. If Contractor determines that any such item is not acceptable, Contractor shall have no responsibility to salvage or to process or dispose of such item.
- 3. Contractor shall provide from 2 to 4 salvagers within the hours that the transfer station is open. However, Contractor is not required to be on site for all hours that the Transfer Station is open.
- 4. Each salvage worker shall have taken a course of Hazardous Materials Recognition training from a certified safety training company before beginning work at the Transfer Station. In no situation will this employee work without an onsite Urban Ore employee trained in hazardous materials recognition who will direct this non-trained employee. In the event of a new employee, training will occur within 60 days of beginning work at the Transfer Station. Once the new employee has completed their Hazardous Materials Recognition training, they must show proof of the training to the transfer station Supervisor or his appointee. Salvage workers will observe all

safety requirements that are posted at the City's transfer station and adhere to work site safety regulations by Cal OSHA.

- 5. Contractor's employees will abide by safety protocol that will be established by the Transfer Station Supervisor in consultation with contractor. The protocol will provide salvagers reasonable time to retrieve materials from Customers' loads.
- 6. Any new Urban Ore salvage worker shall meet with the City's transfer station supervisor or designee for orientation on safety and transfer station procedures before starting work at the transfer station.
- 7. Salvage workers will notify City workers if they observe hazardous materials or materials banned from landfill being unloaded, or on the tipping floor.
- 8. Contractor will provide equipment necessary for their work, including a forklift, electric cart, truck, trailer (if approved by Transfer Station Senior Supervisor), and miscellaneous hand tools. Only a certified operator will operate forklift. Contractor assumes all liability for the equipment that they use and leave on City property.
- 9. Contractor will provide a quarterly report, including number of tons recovered from the transfer station, staff hours worked, and any accidents or injuries. Accidents and injuries shall be reported to the Transfer Station, Senior Supervisor, both verbally and email format.
- 10. All employees of the Contractor shall wear a vest identifying themselves as Urban Ore employees. Identifying information shall be visible and readable at a distance of 80 feet.
- 11. Contractor will create a recycling diversion method, for the City to use, for its recycling diversion calculations using the materials recycled/sold at Contractors retail salvage store (Ecopark) located at: 900 Murray Street, Berkeley, 94710, Ca. Contractor will also provide a report of tons recycled from all Urban Ore operations in Berkeley.

## EXHIBIT B

## <u>PAYMENT</u>

No payment is due for any services described in this contract

# NON-DISCRIMINATION/WORKFORCE COMPOSITION

FOR ALL CONTRACTS: 5 OR MORE EMPLOYEES

To assist the City of Berkeley in implementing its Non-Discrimination policy, you're requested to furnish information regarding your personnel, as indicated below, and return this form to the City Department handling your contract.

ORGANIZATION		DEB A	JEBAN ORC	4 ( N		DROVE V		\ \ \ \ \	2			
BUSINESS LICENSE #						, ,						
You may complete this online & make entries in these cells, they will be automatically totaled at the bottom, or print the form & complete by hand/typewriter	nake entrie:	s in these	cells, they	will be au	tomatically	totaled.at	the botton	n; or print ti	he form &	complete b	y hand/typ	ewriter.
Occupational Category	ALL EMPLOYEES	OYEES	WHITE		BLACK	Ŕ	ASIAN	AN	HISP	HISPANIC	DTHER (specify)*	pecify)**
see page 2 for definitions)	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Officials/Administrators	/	,	/	_		,						
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Other Occupation: Specify*							,					
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is your business MBE/WBE/DBE certified?	DBE cel	inades certified?	quele	If Yes,	fo describe 7 If Yes, by what agency?	describe the enterpress, by what agency?	he en		0.0	7	111	
		a		If Ye	If Yes, please specify:	specify:		or eth	nic identification:	fication:		
Do you have a policy of non-discrimination?	n-discrim	ination?	7	\ \								
Signature		ary	Car I		e jeal					Date	6	6/20
Print/Type Name of Signer		dery.	lon	ilan	Delle	ven tec						•
Verified by		,								Date		
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City of Berkeley Contract Administrator

NonDiscrimWorkforceComp.07Aug.xls / Non-Discrimination Form

# Urban Ore Nondiscrimination/ Workforce Composition Report

	TOTALS	TOTALS	Other (specify)	Service Maintenance	Skilled Craft Workers	Office/clerical	Para-professionals	Protective Service Workers	Technicians	Professionals	Official/Administrators		City of Berkeley form
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Name	EMPLOYEE?	Male Female		Male Female Male Female	Male Female	Male Female Male Female	Male Female	• .
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Alejandro Magana	. <	-			٠	_		Office/Clerical
Alfonso Maldonado	<		,			_	•	Skilled craft worker
Ali Roller			_					Technician
Amy Cools .	<	1						Skilled craft worker
Andrea Sprague	<	·	_					Skilled craft worker
Andrew Bramer	<		-					Skilled craft worker
Arnold Oden	. <	<u> </u>		1	,			Skilled craft worker
Cameron Stephens	. <	<u>-</u>	-			-		Skilled craft worker
Chris Amado	. <	<u>г</u>	<b>_</b>					Skilled craft worker
Chris Hash	. <	<u></u>						Skilled craft worker
Christopher Sprague	. <	<u> </u>				<u> </u>		Skilled craft worker
Claudio Osornio	<u>ح</u>	<u></u>				1		Skilled craft worker
Dan Knapp	<u>ر</u>				-			Official/Administrator
Daniel Salazar	<	<u> </u>				,		Skilled craft worker
David Boone	<			-				Skilled craft worker
Eddie Jones	√	<u>-</u>		 			•	Skilled craft worker

# Urban Ore Nondiscrimination/ Workforce Composition Report

Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	Official/Administrator	Skilled craft worker	Office/Clerical	Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	Skilled craft worker	-					
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<u> </u>				-		•				•	•				-		-						36 30	TOTAL	. 30
Elias Soto	Erica Johnson	Ian Cartright   √	James Croft $ \sqrt{} $	Jeff Dikio √	Jeremy Kaplan   √	Jim Moniz √	Jose Luis Soto $ \sqrt{} $	Justin Ryan	Mary Lou Van Deventer $ \sqrt{} $	Matt Christensen $ \sqrt{} $	Oscar Michel	Pablo Liendro Lara	Phillip Banks	Priscilla Cummings \[	Rodrigo Valdovinos $  $	Rory Tisher	Ryan McGuire   √	Samuel Melendez	Wayland Engle $\sqrt{}$				]		

# CITY OF BERKELEY Nuclear Free Zone Disclosure Form

### I (we) certify that:

- 1. I am (we are) fully cognizant of any and all contracts held, products made or otherwise handled by this business entity, and of any such that are anticipated to be entered into, produced or handled for the duration of its contract(s) with the City of Berkeley. (To this end, more than one individual may sign this disclosure form, if a description of which type of contracts each individual is cognizant is attached.)
- I (we) understand that Section 12.90.070 of the Nuclear Free Berkeley Act (Berkeley Municipal Code Ch. 12.90; Ordinance No. 5784-N.S.) prohibits the City of Berkeley from contracting with any person or business that knowingly engages in work for nuclear weapons.
- 3. I (we) understand the meaning of the following terms as set forth in Berkeley Municipal Code Section 12.90.130:

"Work for nuclear weapons" is any work the purpose of which is the development, testing, production, maintenance or storage of nuclear weapons or the components of nuclear weapons; or any secret or classified research or evaluation of nuclear weapons; or any operation, management or administration of such work.

"Nuclear weapon" is any device, the intended explosion of which results from the energy released by reactions involving atomic nuclei, either fission or fusion or both. This definition of nuclear weapons includes the means of transporting, guiding, propelling or triggering the weapon if and only if such means is destroyed or rendered useless in the normal propelling, triggering, or detonation of the weapon.

"Component of a nuclear weapon" is any device, radioactive or non-radioactive, the primary intended function of which is to contribute to the operation of a nuclear weapon (or be a part of a nuclear weapon).

4. Neither this business entity nor its parent nor any of its subsidiaries engages in work for nuclear weapons or anticipates entering into such work for the duration of its contract(s) with the City of Berkeley.

Based on the foregoing, the undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Printed Name: ANIEL KNA?? Title:	PESIDENT
Signature: Date: 6/6	112
Business Entity: UROAN OPE, INC	

Contract Description/Specification No. Whan ove 2012 PERSONAL SERVICES CONTRACT . doc Attachment C

# CITY OF BERKELEY Oppressive States Compliance Statement for Personal Services

The undersigned, an authorized agent of
"Business Entity" means "any individual, firm, partnership, corporation, association or any other commercial organization, including parent-entities and wholly-owned subsidiaries" (to the extent that their operations are related to the purpose of the contract with the City).
"Oppressive State" means: Tibet Autonomous Region and the Provinces of Ado, Kham, and U-Tsang,
"Personal Services" means "the performance of any work or labor and shall also include acting as an independent contractor or providing any consulting advice or assistance, or otherwise acting as an agent pursuant to a contractual relationship."
Contractor understands that it is not eligible to receive or retain a City contract if at the time the contract is executed, or at any time during the term of the contract it provides Personal Services to:
<ul> <li>a. The governing regime in any Oppressive State.</li> <li>b. Any business or corporation organized under the authority of the governing regime of any Oppressive State.</li> <li>c. Any person for the express purpose of assisting in business operations or trading with any public or private entity located in any Oppressive State.</li> </ul>
Vendor further understands and agrees that Vendor's failure to comply with the Resolution shall constitute a default of the contract and the City Manager may terminate the contract and bar Vendor from bidding on future contracts with the City for five (5) years from the effective date of the contract termination.
The undersigned is familiar with, or has made a reasonable effort to become familiar with, Vendor's business structure and the geographic extent of its operations. By executing the Statement, Vendor certifies that it complies with the requirements of the Resolution and that if any time during the term of the contract it ceases to comply, Vendor will promptly notify the City Manager in writing.
Based on the foregoing, the undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct.
Printed Name: DANIEL KNAPP Title: PRESIDENT
Printed Name: ANIEL HAPP Title: PESIDENT  Signature: Date: JUNE 6   2012
Business Entity: URBAN OPE, INC
I am unable to execute this Statement; however, Vendor is exempt under Section VII of the Resolution. I have attached a separate statement explaining the reason(s) Vendor cannot comply and the basis for any requested exemption.
Signature: Date:
Contract Description/Specification No.: URBAN OPE 2012 7875 ONAL SERVICES CONTRACT. doc

Attachment D

### CITY OF BERKELEY Living Wage Certification for Providers of Personal Services

### TO BE COMPLETED BY ALL PERSONS OR ENTITIES ENGAGING IN A CONTRACT FOR PERSONAL SERVICES WITH THE CITY OF BERKELEY.

The Berkeley Municipal Code Chapter 13.27, Berkeley's Living Wage Ordinance (LWO), provides that contractors who engage in a specified amount of business with the City (except where specifically exempted) under contracts which furnish services to or for the City in any twelve (12) month period of time shall comply with all provisions of this Ordinance. The LWO requires a City contractor to provide City mandated minimum compensation to all eligible employees, as defined in the Ordinance. In order to determine whether this contract is subject to the terms of the LWO, please respond to the questions below. Please note that the LWO applies to those contracts where the contractor has achieved a cumulative dollar contracting amount with the City. Therefore, even if the LWO is inapplicable to this contract, subsequent contracts may be subject to compliance with the LWO. Furthermore, the contract may become subject to the LWO if the status of the Contractor's employees change (i.e. additional employees are hired) so that Contractor falls within the scope of the Ordinance.

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R THE FOLLOWING QUESTIONS
acts, including the present contract, bid, or .00 or more?
may continue to Section II. If yes, please
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subject to the LWO. If you responded "Non II.
SECTION 501(C) OF THE INTERNAL QUESTIONS.
acts, including the present contract, bid or 0.00 or more?
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subject to the LWO. If you responded "Non II.
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ANCE.

The undersigned, on behalf of himself or herself individually and on behalf of his or her business or organization, hereby certifies that he or she is fully aware of Berkeley's Living Wage Ordinance, and the applicability of the Living Wage Ordinance, and the applicability of the subject contract, as determined herein. The undersigned further agrees to be bound by all of the terms of the Living Wage Ordinance, as mandated in the Berkeley Municipal Code, Chapter 13.27. If, at any time during the term of the contract, the answers to the questions posed herein change so that Contractor would be subject to the LWO, Contractor will promptly notify the City Manager in writing. Contractor further understands and agrees that the failure to comply with the LWO, this certification, or the terms of the Contract as it applies to the LWO, shall constitute a default of the Contract and the City Manager may terminate the contract and bar Contractor from future contracts with the City for five (5) years from the effective date of the Contract termination. If the contractor is a for-profit business and the LWO is applicable to this contract, the contractor must pay a living wage to all employees who spend 25% or more or their compensated time engaged in work directly related to the contract with the City. If the contractor is a non-profit business and the LWO is applicable to this contract, the contractor must pay a living wage to all employees who spend 50% or more or their compensated time engaged in work directly related to the contract with the City.

These statements are made under penalty of perjury under the laws of the state of California.

Printed Name: ANIEL PART Title: President

Signature: Date: 6/6/12

Business Entity: UPBAN ORE, INC

Contract Description/Specification No: IRBAN ORE 2012 PERSONAL SERVICES CONTRACT. &CC

Section III

\*\* \*FOR ADMINISTRATIVE USE ONLY - PLEASE PRINT CLEARLY \*\*\*

I have reviewed this Living Wage Certification form, in addition to verifying Contractor's total dollar amount contract commitments with the City in the past twelve (12) months, and determined that this Contract IS / IS NOT (circle one) subject to Berkeley's Living Wage Ordinance.

Department Representative

Department Name

To be completed by Contractor/Vendor

### Form EBO-1 CITY OF BERKELEY

### CERTIFICATION OF COMPLIANCE WITH EQUAL BENEFITS ORDINANCE



Vendor No.:

If you are a contractor, return this form to the originating department/project manager. If you are a vendor (supplier of goods), return this form to the Purchasing Division of the Finance Dept.

SECTION 1. CONTRACTOR/VENDOR INFORMATION

### URBAN OPE, (NC Name: 900 MURRAY ST. City: BERVELEY Address: MARY WOU VAN DEVENTER Telephone: 510.841-7283 Contact Person: E-mail Address: Marylow Van @ or hance: can Fax No.: 510 - 233-4360 **SECTION 2. COMPLIANCE QUESTIONS** A. The EBO is inapplicable to this contract because the contractor/vendor has no employees. Yes No (If "Yes," proceed to Section 5; if "No", continue to the next question.) B. Does your company provide (or make available at the employees' expense) any employee benefits? If "Yes," continue to Question C. If "No." proceed to Section 5. (The EBO is not applicable to you.) C. Does your company provide (or make available at the employees' expense) any benefits to the spouse of an employee? **⊠** Yes □ No D. Does your company provide (or make available at the employees' expense) any benefits to the domestic partner of an employee? If you answered "No" to both Questions C and D, proceed to Section 5. (The EBO is not applicable to this contract.) If you answered "Yes" to both Questions C and D, please continue to Question E. If you answered "Yes" to Question C and "No" to Question D, please continue to Section 3. E. Are the benefits that are available to the spouse of an employee identical to the benefits that ☐ No are available to the domestic partner of the employee?

### SECTION 3. PROVISIONAL COMPLIANCE

If you answered "No," continue to Section 3.

*					
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By the first effect	tive date after the	first open enrollme	ent process following the contract start	date, not to	exceed

years, if the Contractor submits evidence of taking reasonable measures to comply with the EBO; or

At such time that administrative steps can be taken to incorporate nondiscrimination in benefits in the Contractor's infrastructure, not to exceed three months; or

Upon expiration of the contractor's current collective bargaining agreement(s).

If you answered "Yes," proceed to Section 4. (You are in compliance with the EBO.)

A. Contractor/vendor is not in compliance with the EBO now but will comply by the following date:

B. If you have taken all reasonable measures to comply with the EBO but are unable to do so, do you agree to provide employees with a cash equivalent?\*..... Yes

### SECTION 4. REQUIRED DOCUMENTATION

At time of issuance of purchase order or contract award, you may be required by the City to provide documentation (copy of employee handbook, eligibility statement from your plans, insurance provider statements, etc.) to verify that you do not discriminate in the provision of benefits.

<sup>\*</sup> The cash equivalent is the amount of money your company pays for spousal benefits that are unavailable for domestic partners.

### **SECTION 5. CERTIFICATION**

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that I am authorized to bind this entity contractually. By signing this certification, I further agree to comply with all additional obligations of the Equal Benefits Ordinance that are set forth in the Berkeley Municipal Code and in the terms of the contract or purchase order with the City.

Executed this 6th day of 4UNE	in the year 2012 at BETHEURY CA
DANKL KNAPP	(City) (State)
Name (please print)  PIZES I DENT	Signature
Title	Federal ID or Social Security Number
FC	OR CITY OF BERKELEY USE ONLY
☐ Non-Compliant (The City may not do	business with this contractor/vendor)
☐ One-Person Contractor/Vendor	☐ Full Compliance ☐ Reasonable Measures
☐ Provisional Compliance Category, Fu	Il Compliance by Date:
Staff Name(Sign and Print):	Date:



### CERTIFICATE OF LIABILITY INSURANCE

URBAN-2

DATE (MM/DD/YYYY)

OP ID: JG

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW: THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER: ISU Massie & Beck Ins. Serv. License #0B29340 P.O. Box 1272 Lafayette, CA 94549-1272 Massie & Beck Ins. Brkrs Inc.		925-283-5750 925-283-5751	O CONTACT Jas Goswami  PHONE (ACC NO. Ext): 925-283-5750  EMAIL ADDRESS Jas@isumassie.com			
			INSURER(S) AFFORDING COVERAGE		NAIC #	
l		•	INSURER A PEEFIESS INSURANCE COMP	any,	24198	
Urban Ore; Inc. Mary Lou Van Deventer 900 Murray Street Berkeley, CA 94710			INSURER B. Netherlands Insurance Company		24171	
	F	INSURER C Security National Ins Com	pany	19879		
			INSURER D:			
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this is to:certify that the policies of insurance listed below/have been issued to/the insured named above for the policy period. INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OF CONDITION OF ANY CONTRACT OF OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OF MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. TYPE OF INSURANCE POLICY NUMBER LIMITS GENERAL LIABILITY 1,000,000 EACH OCCURRENCE ŝ DAMAGE:TO RENTED: PREMISES (Ea occurrence) X CBP8651146 05/01/12 05/01/13 500,000 A٠ COMMERCIAL GENERAL LIABILITY 10,000 CLAIMS-MADE X OCCUR MED EXP (Any one person) 1,000,000 PERSONAL & ADV INJURY 2.000.000 GENERAL AGGREGATE 2.000.000 GENL AGGREGATE LIMIT APPLIES PER PRODUCTS - COMP/OP AGG \$ \$ 1.000.000 PÓLICY Emp Ben. AUTOMOBILE LIABILITY 1,000,000 (Ea accident) BODILY INJURY (Per person) 05/01/13 BA8651346 05/01/12 X В ANYJAUTO ALL OWNED AUTOS SCHEDULED BODILY INJURY (Per accident) X AUTOS NON-OWNED PROPERTY DAMAGE (Per accident) \$ HIRED AUTOS \$ UMBRELLA LIAB. EACH OCCURRENCE \$ OCCUR: EXCESS LIAB CLAIMS-MADE AGGREGATE: RETENTION WORKERS COMPENSATION X WC STATU-AND EMPLOYERS LIABILITY 09/01/11 09/01/12 1,000,000 C ANY PROPRIETORY ARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? SWC1004846 E.L. EACH ACCIDENT N /A 1,000,000 (Mandatory in NH) E.L. DISEASE - EA EMPLOYEE 1,000,000 E.L. DISEASE - POLICY LIMIT DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required) City of Berkeley, its officers, agents, employees and volunteers are named as an Additional Insured per written contract with named insured. 10 Day Notice of Cancellation for non-payment of premium

CERTIFICATE HO	DLDER	, i +	 . 7.5.7,	CANCELLATION			
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CITY OF BERKELEY
DEPARTMENT OF PUBLIC WORK
ANDY SCHNEIDER
1201 SECOND STREET
BERKELEY, CA 94710

AUTHORIZED REPRESENTATIVE

O. J. W. Manus

ACCORDANCE WITH THE POLICY PROVISIONS.

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